WHITEOAK CAPITAL MUTUAL FUND

WhiteOak Capital Asset Management Limited Brokerage Structure Oct'23- Dec'23

Category	Scheme	Exit Load	Trail from 1st Year onwards
Liquid	WhiteOak Capital Liquid Fund	Refer Note 1	0.05%
Debt	WhiteOak Capital Ultra Short Term Fund	NIL	0.40%
Equity	WhiteOak Capital Flexi Cap Fund	1.00% (of applicable NAV) if Units are redeemed/ switched-out within 1 month from the	1.10%
Equity	WhiteOak Capital Tax Saver Fund	Exit Load: Nil, subject to Lock-in period of 3 years	1.35%
Equity	WhiteOak Capital Large Cap Fund	1.00% (of applicable NAV) if Units are redeemed/ switched-out within 1 month from the date of allotment., Nil thereafter	1.40%
Equity	WhiteOak Capital Balance Advantage Fund		1.35%
Equity	WhiteOak Capital Mid Cap Fund**		1.15%
Equity	WhiteOak Capital Multi Cap Fund		1.35%
Hybrid	WhiteOak Capital Multi Asset Allocation Fund	1.00% (of applicable NAV) if Units are redeemed/ switched-out within 1 month from the date of allotment., Nil thereafter	0.95%
Hybrid	WhiteOak Capital Balanced Hybrid Fund		1.50%

****Investment in WOC Mid Cap Fund only via SIP/STP mode**

We look forward for your support

Regards,
WhiteOak Capital Mutual Fund

Note 1 - Exit Load for WhiteOak Capital Liquid Fund

Investor exit upon subscription* (in Days)	Day 1	Day 2	Day 3	Day 4	Day 5	Day 6	Day 7 onwards
Exit Loads as a % of redemption proceeds	0.0070%	0.0065%	0.0060%	0.0055%	0.0050%	0.0045%	0.0000%

Terms and Conditions:

1	The AMC/Trustee reserve the rights to change/modify the load structure of the scheme, subject to maximum limits as prescribed under Regulations
2	The commission rates are applicable for all purchases (including switch in, SIP, STP etc) made from 1st May'23 till further notice
3	The annualized Commission/ Brokerage indicated above is on a gross basis, and is inclusive of statutory levies and taxes, if any. The annualized commission is calculated on the basis of 'Daily Average Assets' on the NAV and paid on monthly basis by Mutual Fund subject to fulfilment of T &C as per empanelment form/ distributor agreement and would be net off any claw back, adjustment, refunds etc, if any.
4	A GST registered distributor is required to issue a tax invoice for such tax amount.
5	All the registered distributors have to submit the GST invoice on monthly basis before 15th of the payment month. The invoice submission is enabled in CAMS distributor login page.
6	Commission will not be released until the amount payable exceeds Rs. 100 on or before the end of the fiscal year end and will be directly credited to your bank account provided at the time of empanelment.
7	Decision of the WhiteOak Capital Asset Management Limited pertaining to brokerage calculation and the other matters pertaining thereto shall be final & binding.
8	WhiteOak Capital Asset Management Limited reserves the right to change the applicable Brokerage rates as it may deem fit without any intimation / notification, to seek refund in case of Premature Redemptions / unexpired period for which commission is paid for Normal Purchases, Switch-Ins and for SIP's/STP's.
9	Commission paid is subject to claw-back as per code of conduct issued by AMFI and SEBI Regulations amended from time to time. Distributors shall refund to AMC, either by set off against future commissions or payment, all incentives of any nature, including commissions received.
10	The commission will be directly credited in the Bank Account, wherever applicable, as intimated by the Registered ARN Holder.
11	Please refer to SEBI Circular No. SEBI/IMD/Cir No. 4/168230/09 dated June 30, 2009 regarding transparency in commission. You are requested to take note and act accordingly of paragraph 4 (d) of the said circular which states as follows: "The distributors should disclose all the commission (in form of trail commission or any other mode) payable to them for the different competing schemes of various mutual funds from amongst which the scheme is being recommended to the investor".
12	The Commission structure indicated above is subject to review from time to time and the AMC reserves the right to change the commission structure/period without any prior intimation for various reasons including to meet the regulatory requirements.
13	Effective September 1, 2010, AMFI has introduced the Know Your Distributor ("KYD") norms applicable to all the Mutual Fund Distributors for fresh ARN registration and ARN renewal. As advised by AMFI, advisors are required to be KYD complied failing to which payment of commission will be suspended in full.
14	The commission structure is subject to EUIN regulations/ guidelines as specified by SEBI/ AMFI from time to time. Distributor shall adhere to all applicable SEBI regulation/ Circular on Code of Conduct for intermediaries and other guidelines issued by SEBI/ AMFI from time to time for Mutual Fund Distributor and ensure that no rebate is given to the to the investor in any form and there is no splitting of application for any benefit .WhiteOak Capital Asset Management Limited reserves the right to withhold the payment on account of non-adherence to regulatory guidelines, mis selling and non-adherence to code of conduct or any reason that WhiteOak Capital Asset Management Limited may deem fit. You are required to submit a Declaration of Self Certification ("DSC") in the prescribed format latest by June 30 each year. In case of non-receipt of the DSC by June 30, payment of all types of commission shall be suspended till the requirements of furnishing the DSC are complied with.
15	Distributors are requested to visit our website mf.whiteoakamc.com and read the latest Scheme Information Document (SID), Statement of Additional Information (SAI) and Key Information Memorandum (KIM) and various addendum issued from time to time to confirm the scheme details.
16	Commission/ Brokerage Structure received from the email id: mfpartner@whiteoakamc.com shall be considered valid. AMC will not entertain or be liable/ obliged to consider commission/ Brokerage commission shared through any other EMAIL ID of WhiteOak Capital Asset Management Limited or its Employees.
17	Switches between the fund options is not applicable for brokerage rates
18	For SIPs/STPs registered the brokerage rate will be based on transaction or SIP instalment date and not on registration date
19	This letter supersedes any other incentive/brokerage structure issued earlier to this period
20	All your Queries related to brokerages should be written to mfpartner@whiteoakamc.com